

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L24230GJ2010PLC061123

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAICA5591M

(ii) (a) Name of the company

ALEMBIC PHARMACEUTICALS |

(b) Registered office address

ALEMBIC ROAD,
VADODARA
Gujarat
390003
India

(c) *e-mail ID of the company

charandeep.singh@alembic.co

(d) *Telephone number with STD code

02652280550

(e) Website

www.alembicpharmaceuticals

(iii) Date of Incorporation

16/06/2010

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes

No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes

No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited (BSE)	1
2	National Stock Exchange of India Limited (NSE)	1,024

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date 01/04/2019 (DD/MM/YYYY) To date 31/03/2020 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM 22/07/2020

(b) Due date of AGM 30/09/2020

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C6	Chemical and chemical products, pharmaceuticals, medicinal chemical and	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 15

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	Nirayu Limited	U28100GJ1971PLC098778	Holding	37.15
2	Alembic Global Holding SA		Subsidiary	100

3	Alembic Pharmaceuticals Inc.		Subsidiary	100
4	Alembic Pharmaceuticals Euro		Subsidiary	100
5	Alembic Pharmaceuticals Cana		Subsidiary	100
6	Alembic Pharmaceuticals Aust		Subsidiary	100
7	Alnova Pharmaceuticals SA		Subsidiary	100
8	Genius LLC		Subsidiary	100
9	Orit Laboratories LLC		Subsidiary	100
10	Okner Realty LLC		Subsidiary	100
11	Aleor Dermaceuticals Limited	U24302GJ2016PLC092131	Subsidiary	60
12	Incozen Therapeutics Private L	U24239TG2008PTC059967	Associate	50
13	Rhizen Pharmaceuticals SA		Associate	50
14	Dahlia Therapeutics SA		Associate	50
15	Rhizen Pharmaceuticals Inc.		Associate	50

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	200,000,000	188,515,914	188,515,914	188,515,914
Total amount of equity shares (in Rupees)	400,000,000	377,031,828	377,031,828	377,031,828

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity				
Number of equity shares	200,000,000	188,515,914	188,515,914	188,515,914
Nominal value per share (in rupees)	2	2	2	2
Total amount of equity shares (in rupees)	400,000,000	377,031,828	377,031,828	377,031,828

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	188,515,914	377,031,828	377,031,828	
Increase during the year	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				

Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	188,515,914	377,031,828	377,031,828	

Preference shares

At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text" value="29/07/2019"/>
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Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	5,000	1000000	5,000,000,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			362,997.41
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			11,995,426,424.84
Deposit			0
Total			16,995,789,422.25

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	5,000,000,000	0	0	5,000,000,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

41,325,520,387.15

(ii) Net worth of the Company

33,460,188,886.18

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	9,664,175	5.13	0	
	(ii) Non-resident Indian (NRI)	1,006,980	0.53	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	125,588,118	66.62	0	

10.	Others Trust and LLP	1,300,700	0.69	0	
	Total	137,559,973	72.97	0	0

Total number of shareholders (promoters)

36

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	19,294,649	10.24	0	
	(ii) Non-resident Indian (NRI)	448,605	0.24	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	3,221,280	1.71	0	
4.	Banks	70,000	0.04	0	
5.	Financial institutions	152,702	0.08	0	
6.	Foreign institutional investors	15,623,165	8.29	0	
7.	Mutual funds	9,418,533	5	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	1,360,741	0.72	0	
10.	Others Alternate Investment Fund,	1,366,266	0.72	0	
	Total	50,955,941	27.04	0	0

Total number of shareholders (other than promoters)

48,307

**Total number of shareholders (Promoters+Public/
Other than promoters)**

48,343

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	29	36
Members (other than promoters)	42,531	48,307
Debenture holders	5	5

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**(A) *Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	3	0	3	0	3.47	0
B. Non-Promoter	1	4	1	4	0	0.01
(i) Non-Independent	1	0	1	0	0	0
(ii) Independent	0	4	0	4	0	0.01
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	4	4	4	4	3.47	0.01

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

11

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Chirayu Ramanbhai Am	00242549	Whole-time directo	4,521,465	
Pranav Chirayu Amin	00245099	Managing Director	1,009,800	
Shaunak Chirayu Amin	00245523	Managing Director	1,006,980	
Krishnapuram Ramanat	00243928	Director	0	
Pranav Natverlal Parikh	00318726	Director	19,410	
Paresh Manilal Saraiya	00063971	Director	0	
Archana Niranjn Hingo	00028037	Director	0	
Rajkumar Shreeram Bal	00332079	Whole-time directo	0	
Chirayu Ramanbhai Am	ABMPA5729P	CEO	4,521,465	
Rajkumar Shreeram Bal	ABXPB2161K	CFO	0	
Charandeep Pritpal Salt	CBAPS4674R	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

0

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	29/07/2019	42,634	48	73.7

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	08/05/2019	8	8	100
2	12/06/2019	8	4	50
3	29/07/2019	8	8	100
4	24/10/2019	8	8	100
5	22/01/2020	8	8	100
6	06/03/2020	8	8	100

C. COMMITTEE MEETINGS

Number of meetings held

17

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	08/05/2019	4	4	100
2	Audit Committee	29/07/2019	4	4	100
3	Audit Committee	24/10/2019	4	4	100
4	Audit Committee	22/01/2020	4	4	100
5	Nomination and Remuneration	08/05/2019	4	4	100
6	Nomination and Remuneration	29/07/2019	4	4	100
7	Nomination and Remuneration	24/10/2019	4	2	50
8	Nomination and Remuneration	22/01/2020	4	2	50
9	Corporate Social Responsibility	08/05/2019	3	3	100
10	Corporate Social Responsibility	29/07/2019	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
								22/07/2020
								(Y/N/NA)

1	Chirayu Rama	6	5	83.33	8	8	100	Yes
2	Pranav Chiray	6	5	83.33	5	3	60	Yes
3	Shaunak Chira	6	6	100	0	0	0	Yes
4	Krishnapuram	6	5	83.33	12	8	66.67	Yes
5	Pranav Natver	6	6	100	8	6	75	Yes
6	Paresh Manila	6	6	100	17	17	100	Yes
7	Archana Niran	6	5	83.33	4	4	100	Yes
8	Rajkumar Shre	6	6	100	5	5	100	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Chirayu Ramanbhai	Chairman & CEO	58,261,814.7	200,000,000	0	6,745,500	265,007,314.7
2	Pranav Chirayu Am	Managing Direct	103,809,148	85,000,000	0	6,241,200	195,050,348
3	Shaunak Chirayu Al	Managing Direct	103,809,256.7	85,000,000	0	6,241,200	195,050,456.7
4	Rajkumar Shreeram	Director - Financ	38,413,209.5	0	0	2,686,391.22	41,099,600.72
	Total		304,293,428.9	370,000,000	0	21,914,291.22	696,207,720.1

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Charandeep Singh	Company Secre	1,350,293.52	0	0	95,245.09	1,445,538.61
	Total		1,350,293.52	0	0	95,245.09	1,445,538.61

Number of other directors whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Krishnapuram Rama	Independent Dir	0	1,000,000	0	455,000	1,455,000
2	Paresh Manilal Sara	Independent Dir	0	1,000,000	0	585,000	1,585,000
3	Pranav Nayverlal Pa	Independent Dir	0	1,000,000	0	485,000	1,485,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
4	Archana Niranjana H	Independent Dir	0	1,000,000	0	415,000	1,415,000
	Total		0	4,000,000	0	1,940,000	5,940,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

SAMDANI SHAH & KABRA

Whether associate or fellow

Associate Fellow

Certificate of practice number

2863

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. .. dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director


 Digitally signed by
 RAJ KUMAR BAHETI
 Date: 2020.08.21
 15:22:39 +05'30'

DIN of the director

To be digitally signed by


 Digitally signed by
 SATYANAR AIN SAMDANI
 Date: 2020.08.21
 15:39:09 +05'30'

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

-
-
-
-

List of attachments

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

**MGT-8
CERTIFICATE**

*[Pursuant to Section 92(2) of the Companies Act, 2013 and
Rule 11(2) of Companies (Management and Administration) Rules, 2014]*

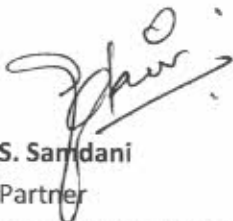
We have examined the registers, records, books and papers of **ALEMBIC PHARMACEUTICALS LIMITED** ("the Company") as required to be maintained under the Companies Act, 2013 ('the Act') and the rules made thereunder for the Financial Year ended on March 31, 2020 ('period under review'). In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the Company, its Officers and Agents, we certify that:

- A. The Annual Return states the facts as at the close of the period under review correctly and adequately.
- B. During the period under review the Company has complied with provisions of the Act & Rules made thereunder in respect of:
 1. Its status under the Act;
 2. Maintenance of registers / records & making entries therein within the time prescribed therefor;
 3. Filing of Forms and Returns, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within the prescribed time;
 4. Calling / Convening / Holding Meetings of Board of Directors or its Committees, and the meetings of the members of the Company on due dates as stated in the Annual Return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions have been properly recorded in the Minute Book / Registers maintained for the purpose and the same have been signed;
 5. Closure of Register of Members / Security holders, as the case may be;
 6. Advances / Loans to its Directors and / or persons or firms or companies referred in Section 185 of the Act;
 7. Contracts / Arrangements with Related Parties as specified in Section 188 of the Act;
 8. Transfer or transmission of shares / securities and issue of security certificates. There were no Issue or allotment or buyback of securities, no redemption of preference shares or debentures, no alteration or reduction of share capital, no conversion of shares or securities;
 9. Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act;

MGT8APL1920



10. Declaration / payment of dividend, transfer of unpaid / unclaimed dividend / other amounts as applicable to the Investor Education and Protection Fund in accordance with Section 125 of the Act;
11. Signing of Audited Financial Statement as per the provisions of Section 134 of the Act and Report of Directors is as per sub-Sections (3), (4) and (5) thereof;
12. Constitution / re-appointment / retirement / disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them. However, there was no appointment / filling up casual vacancies of the Directors, Key Managerial Personnel;
13. Appointment / re-appointment / filing up of casual vacancies of the Auditors as per the provisions of Section 139 of the Act. However, there were no changes in the Auditors of the Company;
14. Approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act, wherever applicable;
15. Acceptance / renewal / repayment of deposits. However, the Company has not accepted any deposits as per Section 73 to 76 of the Act;
16. Borrowings from its Directors, Members, Public Financial Institutions, Banks and others and Creation / Modification / Satisfaction of Charges in that respect, wherever applicable;
17. Loans and Investments or Guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of Section 186 of the Act;
18. Alteration of the provisions of the Memorandum and / or Articles of Association of the Company. However, there were no such alterations.



S. Samdani

Partner

Samdani Shah & Kabra
Company Secretaries

FCS No. 3677, CP No. 2863

UDIN: F003677B000602561



Place: Vadodara

Date: August 21, 2020

MGT8APL1920

702, Ocean, Sarabhai Compound, Near Centre Square Mall, Dr. Vikram Sarabhai Road, Vadodara-390023.

Ph: +91 265 2988933-2311933, 9723462962. E-mail: cssamdani@gmail.com

Alembic Pharmaceuticals Limited

TRANSFER REGISTER

FROM : 01/04/2019 TO : 31/03/2020

TRANSFER #:	TRANSFEROR DT/CON/RT: NAME/ADDRESS	TRANSFeree NAME/ADDRESS	CERT.NO FROM	TO	DIST.NOS FROM	TO	QTY
TF3841:	0066875 01-04-2019 : HIMANSHU KIKANI 82500 : B-87 RAJ BAUG SOCIETY 550.00: CANAL ROAD GHODASAR 206.25 : AHMEDABAD 380050 TRANSFER: AHMEDABAD 380050 150 : PAN :AMYPK7372B F/H :RAJENDRA JT1:KANDARP KIKANI OC:STU ST:RI CT:PL	0086826 RAJENDRA KIKANI B87 RAJBAUG SOCEITY CANAL ROAD GHODASAR AHMEDABAD 380050 PAN :ARLPK4740M F/H :CHINUBHAI OC:SER ST:RI CT:PL	12171	12171	60203738	60203887	150
TF3842:	0070472 08-04-2019 : SHIRISHBHAI RAMCHANDRA PATHAK 402000 : 26,VIHAR SOCIETY 536.00: NEAR AKOTA GARDEN 1005.00 : BARODA-390020 TRANSFER: VADODARA 390020 750 : PAN :AGMPP8957B F/H :RAMCHANDRA JT1:ASHISH SHIRISHBHAI PATHAK OC:RTD ST:RI CT:PL	0086831 PRATIK SHIRISHBHAI PATHAK 301 ANJALI 26 VIHAR SOCEITY OPP R C PATEL ESTATE AKOTA ROAD VADODARA 390020 PAN :AAZPP2001K F/H :SHIRISHBHAI PATHAK OC:BUS ST:RI CT:PL	15654	15654	57042496	57043245	750
TF3843:	0071829 08-04-2019 : CARLOS ALBERTO FERREIRA 810000 : C/O ALVARO NORONHA FERREIRA 540.00: LA CAMPALA RESIDENTIAL COLONY 2025.00 : PANJIM GOA 403001 TRANSFER: PANAJI 403001 1500 : F/H :ALVARO JT1:ALVARO MAUSINHO ALBERTO NORONHA FERREIRA OC:STU ST:RI CT:PL	0086832 CAETANO FILIPE N FERREIRA C/13/697, LA CAMPALA COLONY MIRAMAR PANAJI 403001 PAN :AAIPF3098B F/H :ALVARO M A N FERREIRA OC:OTH ST:RI CT:PL	8536	8536	58600572	58602071	1500
TF3844:	0032852 08-04-2019 : ARUSA SHAUKAT BATAWALA 170000 : C-1806 566.67: NR JENI MASJID 425.00 : FORT PARSIWAD TRANSFER: BHARUCH BHARUCH 392001 300 : F/H :MOHAMMEDBHAI M RASIWALA OC:OTH ST:RI CT:PL	0086833 ARUSA SHAUKAT BATAWALA C/1806, NEAR JENI MASJID FORT PARSIWAD BHARUCH 392001 PAN :AKSPB6255A F/H :SHAUKAT BATAWALA ST:RI CT:PL	6543	6543	57234027	57234326	300

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TRANSFER #:	TRANSFEROR DT/CON/RT:	TRANSFEROR NAME/ADDRESS:	TRANSFeree NAME/ADDRESS:	CERT.NO FROM:	CERT.NO TO:	DIST.NOS FROM:	DIST.NOS TO:	QTY:
TF3845:	0061945	06-05-2019 : AJAY BHATIA 83400 : C/3 BANOO MANSION 556.00 : KEMP'S CORNER 208.50 : MUMBAI 400036 TRANSFER: 400036 150 : PAN :AABPB4584D F/H :LAXMIDAS OC:SER ST:RI CT:PL	0086839 AJAY LAXMIDAS BHATIA C/3 BANOO MANSION KEMPS CORNER MUMBAI 400036 PAN :AABPB4584D F/H :LAXMIDAS BHATIA JT1 :AMEETA AJAY BHATIA PAN :AEQPB1973H OC:SER ST:RI CT:PL	7806	7806	58188102	58188251	150
TF3846:	0007873	20-05-2019 : PRADYUMNA AMBALAL PARIKH 99000 : JAMNADAS KAHANDAS KHADKI 550.00 : DALA PATEL S POLE NARSINHJI S POLE 247.50 : BARODA-390001 TRANSFER: 390001 180 : PAN :ACTPP2829D F/H :S/O AMBALAL OC:SER ST:RI CT:PL	0086843 PARIKH PRADYUMAN AMBALAL VRAJ DALA PATELS POLE NARSINHJI POLE M G ROAD VADODARA 390001 PAN :ACTPP2829D F/H :AMBALAL PARIKH JT1 :PARIKH KISHORIBEN PRADYUMAN PAN :APNPP3060K OC:RTD ST:RI CT:PL	3757	3757	56350086	56350265	180
TF3847:	0069642	03-06-2019 : CHINTAPALLI BHUJANGARAO 497550 : C/O MR C SIVAPRASADA RAO 535.00 : D-2 SNEHA SADAN KARPAGAM AVENUE 1243.88 : 94 SANTHOME HIGH ROAD CHENNAI 600028 TRANSFER: 600028 930 : F/H :C SIVAPRASADA RAO JT1:CHINTAPALLI SYAMALARANI RAO JT2:CHINTAPALLI SIVAPRASADA RAO OC:SER ST:RI CT:PL	0086854 CHINTAPALLI BHUJANGA RAO D2 SNEHASADAN KARPAGAM AVENUE 94 SANTHOME HIGH ROAD CHENNAI 600028 PAN :AAAPR0560J F/H :CHINTAPALLI SHYAMALA RANI RAO JT1 :CHINTAPALLI SHYAMALA RANI RAO PAN :AAEPC0108M OC:RTD ST:RI CT:PL	11181	11181	59843162	59844091	930
TF3848:	0083162	17-06-2019 : RAJNIKA GORDHANDAS PATEL 74000 : G/1,MEERA MADHAV APPT., 616.67 : 24,PATEL SOCIETY, GULBAI TEKRA 185.00 : ELISBRIDGE TRANSFER: 380006 120 : F/H :GORDHANDAS N PATEL JT1:SAMIR PRAFULCHANDRA PATEL JT2:PAULOMI PRAFULCHANDRA PATEL OC:HOU ST:RI CT:PL	0086866 RAJNIKABEN PRAFULCHANDRA PATEL G/1 MEERA MADHAV APPARTMENT 24 PATEL SOCEITY GULBAI TEKRA ELLISBRIDGE AHMEDABAD 380006 PAN :AAZPP0762B F/H :PRAFULCHANDRA PATEL JT1 :PAULOMI NAIMISH PATEL PAN :AAZPP4328D OC:HOU ST:RI CT:PL	1129	1129	55474121	55474240	120

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TRANSFER #:	TRANSFEROR DT/CON/RT: NAME/ADDRESS	TRANSFeree NAME/ADDRESS	CERT.NO FROM	TO	DIST.NOS FROM	TO	QTY
TF3849:	0083163 17-06-2019 : RAJNIKA GORDHANDAS PATEL 31800 : G/1,MEERA MADHAV APPT., 530.00: 24,PATEL SOCIETY,GULBAI TEKRA 79.50 : ELLIS BRIDGE TRANSFER: 380006 60 : F/H :GORDHANDAS N PATEL JT1:PAULOMI PRAFULCHANDRA PATEL OC:HOU ST:RI CT:PL	0086866 RAJNIKABEN PRAFULCHANDRA PATEL G/1 MEERA MADHAV APPARTMENT 24 PATEL SOCEITY GULBAI TEKRA ELLISBRIDGE AHMEDABAD 380006 PAN :AAZPP0762B F/H :PRAFULCHANDRA PATEL JT1 :PAULOMI NAIMISH PATEL PAN :AAZPP4328D OC:HOU ST:RI CT:PL	1130	1130	55474241	55474300	60
TF3850:	0049943 24-06-2019 : VISHNU KANT SHARMA 300000 : 12/287 RAJENDRA PURA 400.00: MAHARISHI DAYANAND MARG 750.00 : AJMER-305001 TRANSFER: 305001 750 : PAN :KWZPS1995D F/H :MANGAL CHANDJI SHARMA OC:PRO ST:RI CT:PL	0086870 NISHA RANI SHARMA MAYANK, 12/287, RAJENDRA PURA HATHI BHATA JAIPUR ROAD AJMER 305001 PAN :AMJPS8216P F/H :VIJAY SHARMA JT1 :RAMA KANT SHARMA PAN :ACLPS7898H OC:RTD ST:RI CT:PL	666	666	55320536	55321285	750
TF3851:	0047185 24-06-2019 : SHABBIRMULLA HASANALI MAMUWALLA 0 : C/O M/S T.ALIMAHOMED & CO. 0.00: 144, 46, SARANG STREET 0.00 : MUMBAI-400003 TRANSFER: 400003 500 : F/H :MULLA HASNALI OC:BUS ST:RI CT:PL	0086871 SHABBIR HASANALI MAMUWALA ROOM NO 11 2ND FLOOR HARARWALA BUILDING 140 SARANG STREET CRAWFORD MARKET MUMBAI 400003 PAN :AAEPM3959N F/H :HASANALI MAMUWALA OC:OTH ST:RI CT:PL	15656	15656	57665487	57665986	500
TF3852:	0012607 24-06-2019 : SHABBIR MULLA HASANALY 0 : C/O M/S T ALIMAHOMED & CO 0.00: 144/46 SARANG STREET 0.00 : MUMBAI-400003 TRANSFER: 400003 1000 : F/H :S/O MULLA OC:BUS ST:RI CT:PL	0086871 SHABBIR HASANALI MAMUWALA ROOM NO 11 2ND FLOOR HARARWALA BUILDING 140 SARANG STREET CRAWFORD MARKET MUMBAI 400003 PAN :AAEPM3959N F/H :HASANALI MAMUWALA OC:OTH ST:RI CT:PL	15655	15655	57650387	57651386	1000

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TRANSFER #:	TRANSFEROR	TRANSFEREE	CERT.NO	DIST.NOS	QTY
DT/CON/RT:	NAME/ADDRESS	NAME/ADDRESS	FROM TO	FROM TO	
TF3853:	0049780	0086894	2593	2593	300
23-09-2019 :	VARSHABEN BHIKHUBHAI PATEL	VARSHABEN BHIKHUBHAI PATEL			
154000 :	AT RANCHHODPURA	AZAD CHOWK			
513.33 :	P O LASUNDARA TA KAPADVANJ	DHARMAJ			
385.00 :	DT KAIRA PIN-387640	DHARMAJ PETLAD			
TRANSFER:	387640	ANAND KHEDA			
	PAN :BHAPP5544C	388430			
300 :	F/H :BHIKHUBHAI	PAN :BHAPP5544C			
	OC:OTH ST:RI CT:PL	F/H :BHIKHUBHAI PATEL			
		JT1 :SANJAY BHIKHUBHAI PATEL			
		PAN :BHAPP5542E			
		OC:RTD ST:RI CT:PL			

Alembic Pharmaceuticals Limited

TRANSFER REGISTER

FROM 01/04/2019 TO 31/03/2020

***** BATCH CONTROLS*****

1. TOTAL NO.OF RECORDS PROCESSE :	13
2. TOTAL NO.OF PAGES PRINTED :	5
3. TOTAL NO.OF TRANSFERS :	13
4. TOTAL NO.OF CERTIFICATES :	13
5. TOTAL NO.OF SHARES :	6690
6. TOTAL CONSIDERATION AMOUNT :	2704250
7. TOTAL STAMP DUTY PAID :	6760.63
8. HIGH RATE PER SHARE :	616.67
9. LOW RATE PER SHARE :	0.00

RECEIVED DATE FROM :23/03/2019 TO 23/09/2019 TRANSFER NO.FROM 01/04/2019 TO 31/03/2020

FOR 6690 SHARES ARE HEREBY APPROVED ON :

DATE :

IX. Meetings of Members/Class of Members/Board/Committees of the Board of Directors					
C. Committee Meetings					
Sr. No	Type of Meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
11	CORPORATE SOCIAL RESPONSIBILITY COMMITTEE	24/10/2019	3	2	66.67
12	CORPORATE SOCIAL RESPONSIBILITY COMMITTEE	22/01/2020	3	2	66.67
13	STAKEHOLDERS RELATIONSHIP COMMITTEE	08/05/2019	3	3	100
14	STAKEHOLDERS RELATIONSHIP COMMITTEE	29/07/2019	3	3	100
15	STAKEHOLDERS RELATIONSHIP COMMITTEE	24/10/2019	3	3	100
16	STAKEHOLDERS RELATIONSHIP COMMITTEE	22/01/2020	3	2	66.67
17	RISK MANAGEMENT COMMITTEE	22/01/2020	5	4	80.00

For Alembic Pharmaceuticals Limited



Charandeep Singh Saluja

Company Secretary

FF-4, Ankur Resi-cum Plaza,
Near Umiya Nagar, New Sama Road,
Vadodara - 390 008
ACS: 28061

ALEMBIC PHARMACEUTICALS LIMITED

REGD. OFFICE : ALEMBIC ROAD, VADODARA - 390 003. • TEL : (0265) 2280550, 2280880 • FAX : (0265) 2281229
website : www.alembicpharmaceuticals.com • E-mail : alembic@alembic.co.in • CIN : L24230GJ2010PLC061123