

## Overview

### 1. Audit Information

Audit report number:	<b>DQSIND50260147IE</b>
Audit company:	<b>DQS-UL Group</b>
Lead Auditor:	<b>RAMANATHAN Ganesan</b>
Co-Auditor:	

Audit Date: (yyyy-mm-dd)	<b>2024-04-25</b>
Audit type:	<b>Initial</b>
Follow up report number: (if applicable)	
Witnessed audit?	<b>no</b>

### 2. Supplier Information

Company name (legal entity):	<b>Alembic Pharmaceuticals Limited - Formulation Division I</b>
Company site name (if different):	
Supplier ID:	
Duns number:	<b>650574663</b>
Tax number:	<b>24AAICA5591M1Z9</b>
Valid business license?	<b>yes</b>
Date of validity: (yyyy-mm-dd)	<b>2024-12-31</b>
Business license number:	<b>27975</b>
Site date of foundation: (yyyy-mm-dd)	<b>2024-12-31</b>

Site contact name:	<b>Mr. Sushil Kumar Kharkwal</b>
Function / Job title:	<b>Head - EHS</b>
Contact phone number:	<b>+91-9909699111</b>
Contact email:	<b>sushil.kharkwal@alembic.co.in</b>
Site state / province:	<b>Gujarat</b>
Site country:	<b>India</b>

### 3. Audit Findings

Answered questions	<b>145 (of 145)</b>
Thereof questions answered with n/a	<b>12 (8.3%)</b>

Total Score	<b>98%</b>
Total Points / max. Points	<b>196 / 200</b>

Finding Category	Minor	Major	Critical	Total Points [max. Points]
Environment	0	0	0	40 [40]
Health & Safety	0	0	0	60 [60]
Labor & Human Rights	0	0	0	60 [60]
Management	2	0	0	16 [20]
Governance	0	0	0	20 [20]
<b>Total</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>196 [200]</b>

**Please note: Not all questions have been answered!**

### Additional information:

Have there been investigations or legal proceedings regarding corruption / bribery / fraud in the last five years?	<b>no</b>
Are hazardous goods produced or worked with in production?	<b>yes</b>
Does the company operate containment facilities to temporarily contain fire water, including rain?	<b>yes</b>
Is the company considered energy intensive (high carbon footprint)?	<b>yes</b>
Is electrical power purchased by the site generated by traditional fossil fuels (fuel oil, coal, diesel, LPG, natural gas)?	<b>yes</b>
Is the company fresh water intensive and poses a water scarcity risk to the environment in which it operates?	<b>no</b>
Where is the supplier site located?	<b>close to agricultural areas</b>